

## Solution: Dutch statistical reporting

Local knowledge global solutions

This datasheet describes the FRSGlobal RegPro solution for the ECB regulation (EC) No 25/2009 - relating to statistical reporting which takes effect in June 2010

### What regulations?

The Dutch National Bank, through related circulars has recently introduced new regulatory changes in line with the ECB regulation:

- Regulation (EC) No 25/2009 of the ECB of 19 December 2008 concerning the balance sheet of the monetary financial institutions sector (recast ECB/2008/32).

In light of the above-mentioned changes the reports have been brought in line with best international standards; better aligned with euro-area statistical requirements.

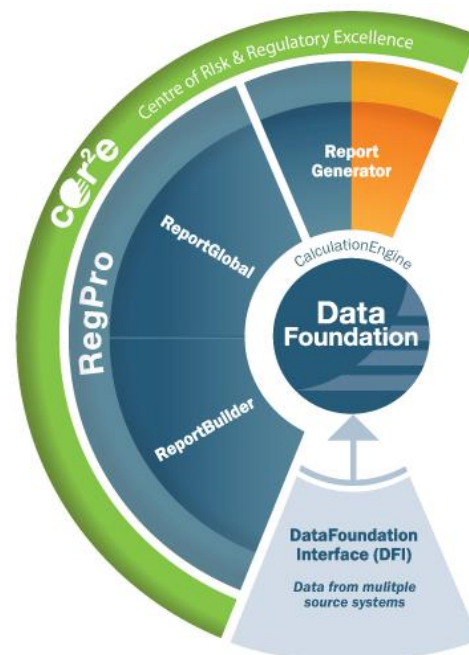
### New requirements before June 2010

The introduction of new templates and formats signify major changes.

The new regulatory requirements are significant and the deadline go-live date of June 2010 is challenging. The following topics are covered:

- **Introduction of NEW reporting schemes**
  1. 9013: MFI securitisations
  2. 7001: (Special Purpose Vehicles) statistics
- **Updates to EXISTING reports**
  1. 9001: SE (Socio Economical) positions
  2. 9004: MFI (Monetary Financial Institutions) interest rates
  3. 9005: Annual reporting participations
  4. 9010: SE balance of payments
  5. 9011: BB (BB balance of payments) movements
- **XML online reporting through a web-based interface.**

### The FRSGlobal RegPro solution for new statistical reporting



A proven solution to automate the production of ALL regulatory reports now and in the future - ready to install and implement, test and go-live in time to meet the June 2010 regulatory requirements.

### FRSGlobal RegPro for regulatory reporting

FRSGlobal RegPro solutions enable financial institutions to address major regulatory and compliance requirements locally and globally such as:

- Global compliance rules (e.g. Basel II, SOX, MiFID)
- Statutory (e.g. IFRS)
- Statistical (e.g. Dutch National Bank)
- Prudential (e.g. Basel II)
- Transactional (e.g. MiFID)

**FRSGlobal RegPro solutions comprise a combination of the following modules:**

- **ReportGenerator:** generating reports from the ReportGlobal module and/or those defined by the user using ReportBuilder
- **ReportBuilder (optional):** for non-technical users to build their own reports for internal or external consumption
- **ReportGlobal (with options):** fully defined regulatory reports for a selection of more than 40 countries, including Luxembourg

**Using FRSGlobal RegPro for regulatory reporting, your institution gains the following benefits:**

- Coverage for regulatory reporting for over 40 countries, using one common approach
- Timely, accurate and compliant financial reports
- Easy and fast adaption to new regulatory requirements, new products, new countries and new head-office demands
- Automation of the end-to-end workflow of regulatory reporting – from collection of the data to validation to report delivery – resulting in huge reductions in time, effort, errors and expense
- Automation frees up time for meaningful data analysis

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### RegPro ReportGenerator module

RegPro **ReportGenerator** automates the process of generating regulatory reports, while giving the option to view and modify them as required. The ReportGenerator is the 'engine' of the RegPro suite.

- **Data management:** FRSGlobal **DataFoundation** (integral to every FRSGlobal solution) stores and manages the required data. The rules for all of the regulators are set up such that the required reports are automatically populated with the correct data
- **Data validation:** All information used in reports is automatically validated for accuracy and completeness

- **Formatting:** RegPro automates formatting to each regulator's requirements
- **Final-submission checks:** Sometimes regulators demand that specific checks be done before submission. RegPro takes care of these checks for you
- **Analysis:** With RegPro you have a huge range of options for data analysis, including:

**Drilling down** from a number on a report to the underlying data, seeing the rules that were applied.

**Drilling up** from an individual data item to see where it is used in different reports.

**Perform variance analyses**, comparing any period of reports and data, and setting variance thresholds (*regulators pay particular attention to discrepancies, requiring "one version of the truth"*).

Viewing data that cannot be allocated to any of the reports; RegPro has orphan detection to give you automatic alerts to such problems.

- **Manual adjustments:** The nature of regulatory reporting means that one may need to adjust or add to the final reporting figures. This can be achieved – with tight control and all modifications fully audited.
- **Delivery:** The final step in the reporting process is submitting the reporting data to the regulator. RegPro supports any format required by regulators, including XML, XBRL, XLS and flat file, and even printed reports for those regulators who still demand them.

### RegPro ReportBuilder module (optional)

RegPro **ReportBuilder** is an optional module. It enables non-technical users to define reports that are not provided by FRSGlobal (e.g. new country reports and/or internal, MIS-style reports). This is covered in more detail on a separate data sheet.

With ReportBuilder, you can go beyond the standard regulatory reports to create custom reports for a wide range of purposes, including statutory, management, head-office and profitability reporting. **The benefits include:**

- The ability to build reports based on functional knowledge – no coding required

- Ensure that your own reports are in line with regulatory reports, and that the numbers are consistent
- Exploit all the functions of RegPro
- Streamline your processes by having one integrated environment for both internal and external reporting

### ReportGlobal module (with options)

RegPro **ReportGlobal** encompasses regulatory reports for 40+ countries. Firms using RegPro subscribe to use reports from one or more countries – and these are updated in accordance with local regulators' requirements under the FRSGlobal Guarantee.

- Reduces demand on Legal to keep up-to-date with regulators' requirements
- Ensure compliance by having most up-to-date reports and submissions
- Single solution across a multi-country operation: easier to maintain and enables cross-reporting
- Single DataFoundation across countries for additional analysis and reporting

**FRSGlobal RegPro provides a powerful solution offering immense scope for creating reports and analysing financial performance. It is the choice of 41 of the "Top 50" global financial institutions**

### Summary features and benefits

- Multi-lingual, multi-currency support
- Drill-up and drill-down between data and report level
- Extensive variance analysis
- Orphan detection
- Ability to make adjustments
- Fully audited environment
- Detailed security mechanism
- One solution for global regulatory reporting
- Automated end-to-end production of regulatory reports

- Timely and accurate regulatory reporting
- The ability to keep up with changing local requirements while maintaining global consistency
- Increased management control
- Cost-effective operations
- Consolidated financial reporting and analysis

**For existing FRSGlobal users:** those organisations moving forward with the subscription-based service, which is implemented as part of this new reporting regime, will benefit from the FRSGlobal Guarantee: *"to keep regulatory reports up-to-date with regulator's requirements"*.

### FRSGlobal Guarantee



The COR<sup>2</sup>E team is responsible for maintaining the reports for each of the 40+ countries that are covered by the FRSGlobal Guarantee; keeping the reports up-to-date on behalf of our clients, and in turn keeping the client compliant with the local regulator.

Visit the COR<sup>2</sup>E section of the [website](#) to read about the regulatory demands in the 40+ countries supported by FRSGlobal.

For more information on RegPro or any of the FRSGlobal solutions, please email [info@frsglobal.com](mailto:info@frsglobal.com)

**Features and benefits of FRSGlobal RegPro statistical reporting solution**

<b>Problem</b>	<b>Statistical reporting solution features</b>	<b>Benefits to you</b>
Identifying the differences between the current reports, and those defined by the regulator	FRSGlobal Centre of Risk and Regulatory Excellence has monitored the changes, and documented them for you.	Saves time, effort, money and resource.
Get the right information from my source systems	FRSGlobal has carried out a gap analysis and documented the information you will need to gather from your source systems: FRSGlobal DataDictionary	Saves time, effort, money and resource.
Create accurate reports in time to be submitted to the regulator	FRSGlobal ReportGenerator will automatically generate all the reports using the data	Meet the regulators deadline
Not all my source data may be available	FRSGlobal ReportGenerator will allow you to add information manually, whilst creating a full audit for future reference	Not have to rely on all source data being available immediately
Keeping up with the changes from the regulator	As part of the FRSGlobal Guarantee, future changes to reports will be provided to the firm as and when they occur	Saves business resource monitoring regulations. Saves IT resource modifying reporting applications.
Getting the reports into the new format required by the regulator	The FRSGlobal solution will create the reports in XML as required by the regulator	No IT resource required to achieve this to meet the regulator's requirements
Keeping compliant	FRSGlobal solutions enable firms to be compliant	Compliance with regulations – good reputation in the marketplace – secure ongoing business environment

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## Risk and regulatory compliance on a unified platform

Our solutions consist of a software framework of data models and report definitions together with rich automated content. Our solutions enable firms to gain a valuable insight into the risks in their operating model (balance sheet), how this may influence profitability and finally to publish this data in order to meet both regulatory and internal reporting obligations.

FRSGlobal is the only supplier of risk management and regulatory reporting, on a unified platform, with coverage for over 40 countries, servicing over 1500 financial organisations – including 41 of the top 50 banking institutions – with enterprise risk and regulatory compliance reporting solutions that enable them to increase operational efficiency, reduce costs and mitigate risks

FRSGlobal is the **ONLY** supplier of risk management and regulatory reporting on a unified platform.

FRSGlobal is the **ONLY** provider of regulatory reports for 40+ countries with a Guarantee to keep the reports up to date with regulators' requirements.

The FRSGlobal Centre of Risk & Regulatory Excellence monitors the changes that affect reporting requirements in more than 100 countries.

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